

कार्यालय, रक्षा लेखा महानियंत्रक  
OFFICE OF CONTROLLER GENERAL OF DEFENCE ACCOUNTS  
उलान बटार मार्ग ,पालम ,दिल्ली छावनी -110010  
ULAN BATAR MARG, PALAM, DELHI CANTT.-110010

No. AN/II/2151/DPC/AAO to AO/2018 & 2019

Date: 02<sup>nd</sup> August, 2018

To

PCsDA/PCA (Fys.)/CsDA/RTCs/AN-IV Section HQrs Office

**Subject: DPC for promotion of AAOs to the grade of Accounts Officer against the transition year 2018 and vacancy year 2019.**

A proposal to convene a DPC for promotion of eligible Assistant Accounts Officer to the grade of Accounts Officer against the transition year 2018 and vacancy year 2019 is under consideration in this HQrs office. A panel of eligible AAOs is required to be prepared on the basis of anticipated vacancies arising in the transition year 2018 and vacancy year 2019.

2. Accordingly, it is requested to look into all the cases of AAOs falling within the zone / extended zone of consideration, as indicated in the enclosures to this letter and forward the requisite dossiers and other connected documents/ certificates (enclosed as **Annexure 'A' to 'H'** ) in respect of eligible AAOs (including AAOs posted with PIFAs/IFAs who are borne on the strength of the PCsDA /CsDA) so as to reach this HQrs. Office latest by **24. 08. 2018**.

3. It is requested that while forwarding the dossiers and DPC related documents it may be ensured that dossiers / documents are forwarded strictly as per the instruction contained in **Annexure- 1 & 2** of this letter.

  
(Praveen Kumar Rai)  
Sr. Dy. CGDA (AN)

Copy to:-

IT & S Cell (Local) With a request to upload the same on CGDA website.

  
(Praveen Kumar Rai)  
Sr. Dy. CGDA (AN)

**Documents required to be forwarded to this Hqrs. Office for DPC AAO to AO for Transition Year 2018 and Year 2019 :**

Sl.	Documents & Date by which the Dossier / documents are required to reach HQrs Office.	Important Instructions to be adhere while preparing / forwarding DPC related documents to this HQrs Office
1	APAR Dossiers	<p>Containing original and complete APARs / MTPARs for the period <b>2011-12 to 2016-17</b>.</p> <p>i) In case APAR for any of the relevant years was not written, then APAR for the previous years may be forwarded to complete the requisite number of APAR.</p> <p>ii) In case any of the APAR was considered Adverse, then <b>column 18 of Annexure 'C'</b> is to be completed, clearly mentioning whether the adverse remarks were communicated to the officer concerned, if so, then the outcome of the representation duly supported with documents.</p> <p>iii) Separate <b>'No APAR/ No MTPAR'</b> certificates under the signature of GO (Admin) to be placed in the APAR dossiers for the gap period(s) of APAR if any.</p> <p>iv) <b>Integrity column</b> of each APAR is to be verified to confirm that the same is not left blank erroneously.</p> <p>v) <b>APARs to be placed in ascending order in the folder i.e. APAR for the year 2016-17 is placed on the top.</b></p>
2	<p>Annexure 'A' to 'E', 'H' by 24.08.2018</p> <p>Annexure 'F' &amp; 'G' by 07.09.2018</p>	<p>(To be forwarded in respect of each AAO)</p> <p>i) <b>Annexure 'C'</b> to be forwarded in duplicate. One copy to be placed in APAR dossier and other to be placed under Annexure 'A'</p> <p>ii) <b>Annexure 'E'</b> to be placed in APAR dossier only.</p> <p>iii) <b>Annexure 'F' &amp; 'G'</b> may be forwarded separately.</p>
3	Through Fax / e-mail (hqan2.cgda@gov.in)	<p>Containing one row information in respect of each AAO consolidated in MS Excel Sheet on following format:  <b>(DETAIL TO BE MENTIONED IN CAPITAL LETTERS EXCEPT REMARKS COL. &amp; DATES IN FORMAT DD-MM-YYYY)</b></p>

Sl No.	R/ No.	Name	Category	Date of Birth	Date of Prom. as AAO	Office Where serving	Orgn.	APAR gradings 2011-12 to 2016-17						Remarks/ Disciplinary Details
								11-12	12-13	13-14	14-15	15-16	16-17	

**PREPARATION OF PANEL FOR DEPARTMENTAL PROMOTION COMMITTEE FOR CONSIDERATION FOR PROMOTION OF ASSISTANT ACCOUNTS OFFICERS TO THE ACCOUNTS OFFICER'S GRADE TO FILL UP THE VACANCIES ARISING IN THE TRANSITION YEAR 2018 AND YEAR 2019.**

• **Likely zone of consideration**

On the basis of the anticipated vacancies worked out , the zone of consideration is likely to cover the Assistant Accounts Officers -

- (a) belonging to Unreserved Category from **Roster No. 284** to **Roster No. 850** as per the Roster of AAOs as on 01.04.2016, circulated vide HQrs Office No.AN/XI/11071/Roster/AAO/2014 dated 08.04.2016
- (b) Who have not been promoted to the AO's grade for any reason till date though their confreres have been promoted, **including those AAOs who had been permitted to forego promotion by the Competent Authority**
- (c) belonging to Reserved Category up to **Roster No. 1600** as per the Roster of AAOs as on 01.04.16 circulated vide HQrs Office No. AN/XI/11071/Roster/AAO/2014 dated 08.04.2016. It may be ensured while forwarding the names of SC/ST category AAOs that necessary verification of their caste (SC/ST) status has been carried out. A certificate may be obtained from such AAOs as per the provisions contained in DOp&t OM No. 36011/3/2005-Estt (Res), dated 09.09.2005 and this HQrs Office circular No. AN/II/ 2151/PC-1089(N), dated 11.01.2013.

**Action required to be taken by the Principal Controllers/Controllers :-**

• **Preparation of list of eligible Assistant Accounts Officers**

- (a) The first step would be to prepare a nominal roll of all the Assistant Accounts Officers serving in your organization and also those who may be on deputation but are borne on the proforma strength of your organization, who are covered in the zone of consideration indicated above. This will be a consolidated nominal roll as per **Annexure 'A'**.
- (b) A separate list may be prepared in respect of AAOs who are in the zone of consideration but who have proceeded on permanent absorption, voluntary retirement, normal retirement or have expired on or after 01.04.2016, in the format enclosed as **Annexure 'B'**.



(c) After preparing the nominal roll of all eligible Assistant Accounts Officers, service particulars (strictly from the Service Book & other service documents) may be captured in the format enclosed as **Annexure 'C'**. These particulars are required to be sent in respect of all the AAOs who are covered in the zone of consideration and who were on strength as on 01.04.2016 even if they have proceeded on permanent absorption, voluntary retirement, normal retirement or have expired. **Annexure 'C' is required to be sent afresh in respect of each AAO even if the same was forwarded previously. One copy of Annexure C is to be placed in the APAR dossier and second copy with forwarding letter in sequence as per Annexure 'A'. In case of AAOs whose APAR up to the year 2014-15 have already been forwarded, Annexure 'C' may please be placed along with APARs for the year 2015-16 and 2016-17 in a separate folder.**

- **Preparation of the APAR Dossier**

- (a) The second step would be to prepare separate dossiers of APAR/MTPAR for last 06 years, i.e. 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 & 2016-17 **(to be placed in ascending order so that APAR for 2016-17 is placed at top)** in respect of each of the Assistant Accounts Officers falling in the consideration zone who were on strength as on 01.04.2016 including those who have proceeded on permanent absorption, voluntary retirement, normal retirement or have expired. In respect of cases where, the Principal Controllers / Controllers have already sent APARs up to the year 2014-15, APARs/MTPARs for the year 2015-16 and 2016-17 are required to be sent in a separate folder in the similar manner as in the case of fresh AAOs.
- (b) As the APAR / MTPAR are required to be sent to this HQ office **IN ORIGINAL**, their photocopies may be retained at your end.
- (c) The following aspects may please adhere while forwarding DPC documents (APARs/MTPARs etc.) to this HQ Office:
- (i) As per the extant DOP&T orders, those who have already been adjudicated but have not assumed the charge of the higher appointment of their own volition after issue of promotion orders till the time the next DPC meets, will require to be reconsidered by the next DPC.
- (ii) All the AAOs in the zone of consideration for promotion who would be in service as on 01.04.2016 are likely to be considered by the DPC.
- (iii) The gap APAR period(s) are required to be explained adequately (such as 'period less than three months', not continuously served under any reporting officer for three months', etc.). **Separate appropriate certificate(s) duly signed by the Group Officer (AN) may be placed in that particular APAR.**

- (iv) In the case of APARs carrying adverse remarks, it needs to be intimated whether the adverse remarks were communicated to the AAO concerned and his/her acknowledgement obtained. Also whether any representation was received, if so, the result thereof. This information may be furnished on a separate sheet of paper, which may, however, be placed in the APAR dossier. Further, **in case integrity column in respect of any of the AAOs is kept blank or not certified, the reasons thereof may also be clarified and placed in officer's APAR dossier.**
- (v) In case of APAR grading is below benchmark i.e. below '4-Good', a certificate be rendered that the grading below '4-Good' for the years 2011-12 to 2016-17 have been communicated to the officers and the acknowledgement has been obtained as per the guidelines contained in DOP&T O.M. No. 21011/1/2010-Estt. (A), dated 13.04.2010. Intimation may also be given regarding the status of representation(s), if any, and the final outcome thereof. A certificate in this regard may be prepared as per **Annexure-'H'**.
- It is expected that the APAR/MTPAR up to and for the year 2016-17 in respect of AAOs falling in the above-mentioned zone of consideration must be processed and finalized. However, if in any particular case, the same is yet to be finalized, action may be taken on priority to finalize the said APAR/MTPAR without delay so as to avoid delay in finalization of the DPC.
  - In the case of AAOs on deputation, arrangements may please be made to obtain the wanting APARs from the borrowing Departments immediately either by personal contact or by deputing a representative.
  - **Preparation of Certificate regarding pending Disciplinary proceedings/Currency of any punishment**

A statement regarding disciplinary record of the eligible **Assistant Accounts Officer may be prepared in Annexure 'D'**. With reference to the list so prepared, a certificate may be prepared in duplicate, separately in respect of each eligible Assistant Accounts Officer, in the format enclosed as **Annexure 'E'**, showing details of the following-

- (a) Penalties imposed in the last ten years (beginning FY 2006-2007 in accordance with the provisions contained in the DOPT OM No. 222011/5/86-Estt (D), dated 27.3.1990 (circulated vide this HQrs letter No. AN/XI/11004/O-Vol.IV, dated 18.5.1990);
- (b) Penalty that may be currently operative or will become operative in coming month;
- (c) Disciplinary case that is under contemplation; and
- (d) Court / criminal case pending against the individual.



The certificate may be prepared with due care and placed in the APAR Dossier along with a copy of the office order notifying the penalty, wherever applicable, as in the light of the provisions contained in DoP & T OM No. 22011/4/91-Estt (A), dated 14.9.92, (circulated vide this HQs No. AN/XI/11004/6/Vol.IV, dated 21.10.1992), recommendations of the DPC are to be placed in sealed cover in the following cases:

- (i) Government servants under suspension.
- (ii) Government servants in respect of whom a charge sheet has been issued and the disciplinary proceedings are pending; and
- (iii) Government servants in respect of whom, prosecution for a criminal charge is pending.

The Disciplinary certificate should cover the period FY 2006 to 2017.

- **Final Step**

Nominal rolls, as per Annexure 'A', 'B', 'D', 'E', & 'H' duly supported by the service particulars (Annexure 'C') in respect of each officer included in the nominal roll as per Annexure 'A', may be put in a Folder, in that order.

**{It is requested that only good quality OFFICE FILE COVERS may be used to place APARs and other documents }**

- **A dossier may be prepared separately in respect of each Assistant Accounts Officer, containing the following:**

- (a) ACRs/MTCRs/APARs/MTPARs for the last 06 (Six) years **(IN ORIGINAL)**, in respect of AAOs falling in the likely zone of consideration. Also, ACR/MTCR/APAR/MTPAR for the year 2015-16 and 2016-17 in respect of AAOs whose cases were forwarded to the previous DPCs are required to be placed in a Dossier,. However, there may be some cases where APARs prior to 2015-16 may not have been forwarded earlier, in such cases the APARs for the left out years may also be placed.
- (b) Disciplinary Certificate as per Annexure 'E'; and
- (c) A copy of the Service particulars **(Annexure 'C')**, in respect of all the AAOs in the **zone of promotion, irrespective of the fact that in some cases it was already sent.**

The Folder and the APAR Dossiers may be forwarded by name to **Shri Praveen Kumar Rai, IDAS, Sr. Dy. CGDA (AN)** under registered insured cover, so as to reach this HQ office on or before **24.08.2018**.

**List of Annexure to be furnished in respect of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2019**

<b>Sl. No.</b>	<b>Description</b>	<b>Annexure</b>
1	Consolidated Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2019	<b>A</b>
2	Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2019 but who died/ superannuated/took VRS, etc. on or after 01.04.2016	<b>B</b>
3	<b>Service Particulars (one copy to be placed in folder containing APARs and other copy under Annexure A).</b>	<b>C</b>
4	Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC; disciplinary/ court/penalty etc. cases. Showing present status of currently ongoing cases	<b>D</b>
5	Disciplinary certificate <b>(to be placed in folder containing APARs)</b>	<b>E</b>
6	Choice Stations of the AAO with recommendations (This annexure may be furnished separately by <b>07.09.2018</b> )	<b>F</b>
7	Annexure containing details of the AAOs on deputation (This annexure may be furnished separately by <b>07.09.2018</b> )	<b>G</b>
8	Certificate that APAR/MTPAR 2011-12 and onwards has been communicated to all the AAOs falling in the zone of consideration	<b>H</b>

**Consolidated Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2019**

<b>Sl. No.</b>	<b>Roster No.</b>	<b>Name of the AAO</b>	<b>CAT. (UR/SC/ST)</b>	<b>Present Office</b>	<b>Folder No.</b>	<b>Remarks, if any</b>
1						
2						
3						
and so on						

Signature of Group Officer with seal



**Nominal Roll of the AAOs who were on strength as on 01.04.2016 and are covered in the consideration zone for adjudication by the DPC but who died / superannuated / took VRS etc.**

Sl. No.	Name & Roster No. of the AAO	CAT. (UR/SC/ST)	Contingency (death/proceeded on superannuation/ voluntary retirement/ permanent absorption, etc.)	Date of contingency	Remarks, if any
1					
2					
3					
and so on					

Signature of Group Officer with seal

**(To be submitted in duplicate, duly typed, in respect of  
all the AAOs in the zone of n)**

**Annexure "C"**

Roster No. \_\_\_\_\_

1.	Name of the AAO (Shri / Smt./ Ms.)	
2.	Account No.	
3.	Male / Female	
4.	Qualification	
5.	Date of Birth	
6.	Date of Appointment	
7.	Date of passing SAS Examination	
8.	Date of promotion to AAO's Grade	
9.	(i) Home Town	
	(ii) District	
	(iii) State	
10.	Whether belonging to SC/ST (mention category with caste / sub caste )  <i>In case of SC/ST category AAOs please enclose requisite certificates along with an undertaking from the AAO concerned as per the guidelines contained in DoP&amp;T OM dated 09.09.2005 as circulated vide HQrs Office circular No. AN/II/2151/PC-1089, dated 11.01.2013.</i>	
11.	Whether physically handicapped. If so, mention percentage of disability duly supported with disability certificate issued by the competent Medical Authority	
12.	Whether any of the dependent is differently able. If so, mention details thereof with supportive documents/certificate.	
13.	Whether spouse is working. If so, mention details viz. Cntral Govt./ State Govt./PSUs/Others	
14.	Whether any disciplinary / criminal case is pending as on date. If so, full facts of case with present position and details to be enclosed duly mentioning the present status thereof.	
15.	Whether integrity is certified in the ACRs/MTCRs/APAR/ MTPAR for the last 6 years (up to 31.3.2017)	
16.	Whether any <b>Recorded Warning</b> issued to AAO as reflected in the APAR and other character rolls. If so, details to be enclosed.	
17.	Whether the AAO was reprimanded for indifferent work or for other causes during last 6 years (up to 31.3.2017)	

18.	Present position of adverse remarks in APAR/MTPAR for last 6 years (up to 31.3.2017) Details and results of representation made by the AAO	
19.	Whether APAR/MTPAR 2011-12 and onwards communicated to AAO (Yes/No). If any representation received against final grading, if so, the final outcome may be intimated .	
20.	Classification of ACRs for the last 6 years (ACRs/MTCRs with period)	2011-12 2012-13 2013-14 2014-15 2015-16 2016-17
21.	Whether EDP Trained (Yes/No)	
22.	Present office of the AAO (full address) with date since when serving in that office	
23.	Details of Service	As indicated below

Table 1

Sl. No.	Office and station served/serving	Section served/ serving	Organization under which served/ serving	Period		Total years / Months served/ serving in station (s)	
				From	To	Years	Months

**Note:** While preparing table 2, it may please be kept into view that there may be different spells of service in any particular station but the whole service in each station is shown instead of showing it in different spells and one station appears once in the table. **The consolidated period of service at the present station should be shown at the bottom of Table 2 below.**

Table 2

Details of total service rendered at each station			
Sl. No.	Name of Station	Consolidated period of service at the station	
		Years	Months

Table 3

Details of service rendered at present station				
Name of Station	Serving at present station since	Period of service at the present station		
		Date	Years	Months

Station:  
Date.

(Signature of Group Officer with seal)



**Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2019:**

**Disciplinary case/Court case /Penalty, etc.**

<b>Sl. No.</b>	<b>Name &amp; Roster No. of the AAO</b>	<b>Present Office</b>	<b>Brief details for the discipline/ criminal case pending or contemplated</b>	<b>Recorded warning/ Major/ Minor penalty imposed, if any, in last 10 years (beginning FY 2006-07)</b>	<b>Remarks, if any</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>

(Signature of Group Officer with seal)

**C E R T I F I C A T E**

Certified that Mr/Mrs.....Asstt. Accounts Officer (Roster No.....) presently serving in office of the ..... has not been awarded any major or minor penalty and no displeasure of any superior officer or authority has been conveyed to him/her as reflected in his ACRs for the period from 01.4.2011 to 31.3.2017.

2. Penalty imposed, if any, may please be indicated (as given below):

Nature of Penalty, whether Minor/Major	-	
Penalty imposed	-	
Currency of Penalty : (i.e. From/To)	-	
Reference of Part II Office order under which notified (Enclose copy)	-	
Present Status	-	

Station:  
Dated.

(Signature of Group Officer with seal)

**Annexure "F"**

**Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2019 preferring specific requests for postings on promotion:**

<b>Sl. No.</b>	<b>Roster No.</b>	<b>Name of the AAO</b>	<b>Office where serving</b>	<b>Request with reasons (duly supported with documents viz. medical certificate etc.)</b>	<b>Recommendation of PCDA/CDA</b>	<b>Remarks</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>
1						
2						
3						
4						

Signature of Group Officer with seal

**Note:** It has been observed that representations for change of postings ordered by this HQrs. Office citing various reasons are received after release of promotions. In order to avoid administrative dislocation caused by review of these transfer orders, it is requested to have **Annexure-F** given above completed in respect of AAOs in the consideration zone, who may so desire. This Annexure attempts to capture all relevant information regarding family problems, medical reasons etc., that an officer may like to mention in support of his/her request for a particular station. We would keep the requests in view while deciding the postings but it must be made amply clear to all concerned that this should not be viewed as a promise to post the officers at their choice stations. The postings will be decided after duly considering the information supplied and, therefore, no further representation for change of posting will normally be entertained once the promotion orders are issued by this HQrs, unless the request is for forgoing the promotion. No further representation for change of posting on promotion should, therefore, be forwarded unless it is for forgoing promotion or warranted by some unforeseen later developments. Further, since the circumstances of the eligible AAOs who have given choice stations earlier, might have changed, fresh applications are required to be submitted to this HQrs in respect of each eligible AAO falling in the zone of consideration so that while deciding their postings, the latest grievances/problems mentioned by them be kept in view for considering their posting on promotion to AO's grade. The Pr. Controllers/ Controllers are also requested that separate recommendation be made in each case.

**Note:** *Annexure 'F' may be furnished separately by 07.09.2018 and forwarding of APARs and other information should not be held up for want of the requests indicating choice stations from the concerned AAOs.*



**Nominal Roll of the AAOs falling in the consideration zone for adjudication by the DPC for the transition year 2018 and vacancy year 2018-19 containing detail of AAOs currently serving on deputation:**

<b>Sl. No.</b>	<b>R/No.</b>	<b>Name of the AAO</b>	<b>Office, Station and name of Deputation Department where serving (mention complete postal address)</b>	<b>Proforma Controller</b>	<b>Date of joining Deputation Department</b>	<b>Initial period of Deputation</b>	<b>Date up to which extended (if any)</b>	<b>Whether officer is willing to continue on deputation after promotion to AO's grade</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>9</b>
1								
2								
3								
4								

**Note:** *Annexure 'G' may be furnished separately by 07.09.2018 after willingness of the concerned AAO (as per column 9) is received and forwarding of APARs and other information should not be held up for want of willingness of the concerned AAOs.*

**CERTIFICATE**

This is to certify that the Annual Performance Assessment Reports for the year 2011-12 and onwards (and also the NO APAR/MTPAR certificate, if any, during the above years) in respect of all the under mentioned Asstt. Accounts Officers in the consideration zone for promotion to the Accounts Officers grade for the DPC for transition year 2018 and vacancy year 2019, have been communicated to the officers concerned as mentioned against each.

Sl. No.	R. No.	Name of the AAO	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	Whether any acknowledgment received (give particulars)	Whether any representation made by the officer within prescribed time limit (Yes/No) (if yes, attach copy)	Decision on the representation (attach copies of comments of Reporting Reviewing Accepting Officers, office Note on representation, and speaking order)
1	2	3	4	5	6	7			8	9	10
1.			Communicated	Communicated	Communicated	Communicated	Communicated	Communicated			
2.			NO APAR	Communicated							
3.			Communicated	Communicated 01.04.09 to 31.12.09 01.04.09 to 31.12.09  No Report 01.01.01 to 31.03.10							

(Signature of Group Officer with seal)